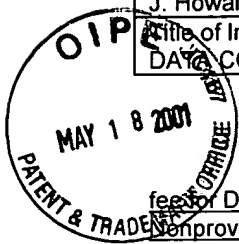


H-A

F. J. Seely  
#3

TRANSMITTAL LETTER			Case No:	10521-4
Serial No.	Filing Date	Examiner	Group Art Unit	
09/780,979	February 9, 2001	To Be Assigned	2185	
Inventor(s) J. Howard Smith et al.				
Title of Invention DATA COMMUNICATION CONTROLLER AND METHOD				



## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is: Transmittal Letter (in duplicate); checks for \$541.00.00 (application fees, surcharge fees for Declaration) and \$40.00 (fee for Assignment Recordal); Response To Notice to File Missing Parts of Nonprovisional Application; copy of Notice as required; executed Declaration; Assignment Recordal Sheet; executed Assignment and postcard evidencing receipt.

- ☒ Small entity status is claimed for this application under 37 CFR § 1.27.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

					Small Entity		Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9=		x \$18=	
Indep.		Minus			x 40=		x \$78=	
First Presentation of Multiple Dep. Claim					+\$130 =		+ \$260=	
					Total add'l fee	\$	total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

John G. Rauch  
Registration No. 37, 218  
Attorney for Applicants

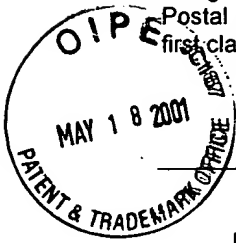
BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610 - (312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on May 14, 2001

Date: 5/14/2001 Signature:

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231



on May 14, 2001

Date of Deposit

John G. Rauch, Reg. No. 37,218

Name of applicant, assignee or  
Registered Representative

May 14, 2001

Signature

Date of Signature

Case No. 10521-4

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

J. Howard Smith et al.

Serial No.: 09/780,979

Filed: February 9, 2001

For: DATA COMMUNICATION CONTROLLER  
AND METHOD

Attention: Manager,  
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION  
FILED UNDER 37 CFR § 1.153(b) FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

Attn.: Box Missing Parts

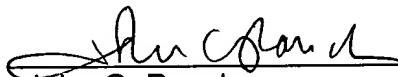
Dear Sir:

In accordance with the Notice to File Missing Parts of Nonprovisional Application Filed under 37 CFR § 1.53(b) Filing Date Granted dated March 14, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- ☒ Applicant is:
- ☒ a small entity. Applicant stipulates that it qualifies for small entity status as defined under §1.9(f).
- ☐ other than small entity.
- ☒ Checks totaling \$541.00 for:
- ☒ Filing Fee of \$355.00.
- ☒ Surcharge of \$65.00.
- ☒ Additional Claim Fees of \$121.00.
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ Other: Recordation fee for Assignment documents, check for \$40.00 enclosed.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: May 14, 2001

  
John G. Rauch  
Registration No. 37,218  
Attorney for Applicants

May 14, 2001  
BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312) 321-4200

rev. Dec.-99



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

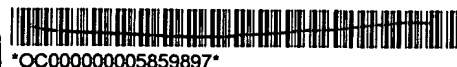
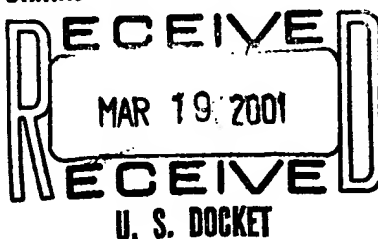
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/780,979	02/09/2001	J. Howard Smith	10521/4

CONFIRMATION NO. 2249

BRINKS HOFER GILSON & LIONE  
N B C TOWER, SUITE 3600  
455 N. CITYFRONT PLAZA DRIVE  
CHICAGO, IL 60611

BRINKS HOFER GILSON &amp; LIONE

FORMALITIES LETTER



Date Mailed: 03/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$242.
  - \$162 for 9 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1082.

*A copy of this notice MUST be returned with the reply.*

*Hulk no cage* *Twadie*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

05/21/2001 GTEFFERA 00000013 09780979 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:201	355.00 OP
02 FC:205	65.00 OP
03 FC:203	81.00 OP
04 FC:202	40.00 OP